



## DISCLOSURE STATEMENT

### Who we are

**Name of Company** : Prosperity Systems Limited T/A – Prosperity PLUS

**Name of Adviser** : Michael Gerard King: FSP No 23521

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**This Disclosure Statement was prepared on 6 November 2014 & is Version V 1.2 11/14**

### Read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

### What sort of adviser am I

I am a registered, but not authorised, financial adviser. I own and operate Prosperity Systems Limited (which also trades as Prosperity PLUS) and work through it as an Adviser. I have worked on this basis, since 1997.

In 2010, Prosperity Systems Ltd was registered as a Financial Service Provider. Its FSP number is 23521.

### Products on which advice is given

I am qualified to provide advice on the following types of products:

- Life
- Trauma
- Income replacement
- Medical products
- Group Schemes
- Business products – to manage financial risk of key personnel and/or shareholders/directors

## What should you do if something goes wrong

If you have a problem, concern, or complaint about any part of my service, please tell me so that I can try to fix the problem. Your problem, concern or complaint will be recorded in accordance with our internal complaints procedure.

If we cannot agree on how to fix the issue, or if you decide not to use the internal complaints procedure, you can contact –

**Financial Services Complaints Limited (FSCL)**

**0800 347 257**

**[www.fscl.org.nz](http://www.fscl.org.nz)**

This service will cost you nothing, and will help us resolve any disagreements

## How I am regulated by the Government

You can check that I am a registered adviser at <http://www.fspr.govt.nz>

The Financial Markets Authority (FMA) regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings.

You can report information or complain about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under **What should you do if something goes wrong**)

## Declaration

I, Michael Gerard King, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Signed: 

**Dated: 6 November 2014**