

## PRIMARY DISCLOSURE STATEMENT

**Name of Company:** Prosperity Systems Limited T/A – Prosperity PLUS

**Name of Advisor:** Michael Gerard King : FSP No 23521

**Dated** this 1<sup>st</sup> day of July 2011 v.1

### **Contact details:**

Prosperity Systems Limited  
Level 1 Rodgers House  
151-155 Princes St  
Dunedin

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### **It is important that you read this document**

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

### **What sort of adviser am I?**

I am a registered, but not authorised, financial adviser.

### **I can give you advice about**

I am qualified to provide advice on the following types of products:

Life, trauma, income replacement and medical insurance products, and including business insurance products, where a business seeks to manage the financial risk it faces from death or disability of key personnel and/or shareholders/directors.

### **What should you do if something goes wrong?**

If you have a problem, concern, or complaint about any part of my service, please tell me so that I can try to fix the problem. Your problem, concern or complaint will be recorded in accordance with our internal complaints procedure.

If we cannot agree on how to fix the issue, or if you decide not to use the internal complaints procedure, you can contact -

*Insurance & Savings Ombudsman  
Dispute Resolution Service  
P O Box 10-845,  
Wellington.*

This service will cost you nothing, and will help us resolve any disagreements.

### **How am I regulated by the Government?**

You can check that I am a registered adviser at <http://www.fspr.govt.nz>

The Financial Markets Authority (FMA) regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings.

You can report information or complain about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under **What should you do if something goes wrong?**).

**Declaration**

I, Michael Gerard King, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Signed: 

**Date:** 24 April 2012

**V1** dated **1 July 2011**